Conference of the Parties to the United Nations Convention against Transnational Organized Crime

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Financial and budgetary matters

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Note by the Secretariat

1. Pursuant to rule 72, entitled "Preparation of a budget", of the rules of procedure for the Conference of the Parties to the United Nations Convention against Transnational Organized Crime, the Secretariat is requested to prepare a budget for the financing of activities of the Conference related to technical cooperation undertaken in accordance with articles 29 to 32 of the United Nations Convention against Transnational Organized Crime, article 10 of the Protocol to Prevent, Suppress and Punish Trafficking in Persons, Especially Women and Children, supplementing the Organized Crime Convention, article 14 of the Protocol against the Smuggling of Migrants by Land, Sea and Air, supplementing the Organized Crime Convention, and article 14 of the Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition, supplementing the Organized Crime Convention.

2. The present document contains budgetary information on expenditure incurred since the eighth session of the Conference of the Parties in relation to assistance provided by the United Nations Office on Drugs and Crime (UNODC) to promote the ratification and implementation of the Convention and its Protocols, as well as information on voluntary contributions and projected expenditure.

3. Pursuant to article 30, paragraph 2 (c), of the Organized Crime Convention, the provision of technical assistance to developing countries and countries with economies in transition for the implementation of the Convention is funded through voluntary contributions. Also under that article, States parties to the Convention are to endeavour to make adequate and regular voluntary contributions to the account specifically designated for that purpose.

4. In its resolution 55/25, the General Assembly adopted the Convention and decided that, until the Conference of the Parties decided otherwise, the account would be operated within the United Nations Crime Prevention and Criminal Justice Fund. In the same resolution, the Assembly encouraged Member States to make adequate voluntary contributions to that account for the provision of the technical assistance that countries might require for implementation of the Convention and its Protocols, including for the preparatory measures needed for that implementation. Also in the same resolution, the General Assembly requested the Secretary-General to provide

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the Centre for International Crime Prevention (now UNODC) with the resources necessary to enable it to promote in an effective manner the expeditious entry into force of the Convention and to discharge the functions of secretariat of the Conference of the Parties to the Convention.

5. In order to comply with General Assembly resolution 55/25, an account was opened within the United Nations Crime Prevention and Criminal Justice Fund for the provision of the above-mentioned activities.

6. In practice, contributions for the technical assistance activities required to implement the Convention and its Protocols have also been made to other projects implemented by UNODC that contribute to countering transnational organized crime and trafficking.

7. The Secretariat invites Member States to continue providing financial support for the technical assistance delivered by UNODC in order to assist States in implementing the Convention and its Protocols.

8. With a view to enhancing transparency and reporting, information on the current status of the account is contained in annex I to the present note. Table 1, contained in annex I, presents the status of pledges to the global project entitled "Support to the Conference of the Parties to the United Nations Convention against Transnational Organized Crime" that have been received since March 2000, based on information available as at 30 June 2018. Table 2 presents the projected fund requirement, as at 30 June 2018. Annex II contains the expenditure and estimates for projects implemented by UNODC that contribute to countering transnational organized crime and trafficking, based on information available as at 30 June 2018.

Annex I

Unaudited financial report on the use of contributions to the United Nations Convention against Transnational Organized Crime components of the United Nations Crime Prevention and Criminal Justice Fund

Table 1
Status of pledges made to components FSA 6022, FSA 9941 and GLOT60 of the United Nations Crime Prevention and Criminal Justice Fund since March 2000, based on information available as at 30 June 2018

(United States dollars)

Donor	*Amount pledged*	*Amount collected*	*Unpaid pledge*
Australia	10 420	10 420	-
Austria	35 956	35 956	-
Canada	284 509	269 062	-
China	249 832	249 832	-
France	1 306 391	1 306 463	-
Greece	30 000	30 000	-
Italy	919 171	902 788	-
Japan	857 410	857 410	-
Monaco	16 432	18 996	-
Norway	445 534	455 031	-
Poland	19 726	19 726	-
Qatar	20 000	20 000	-
Russian Federation	50 000	50 000	-
United Arab Emirates	29 000		29 000
United Kingdom of Great Britain and Northern Ireland	96 549	98 011	-
United States of America	2 068 261	2 068 261	-
Subtotal	**6 439 191**	**6 391 956**	**29 000**
Asia Crime Prevention Foundation	55 243	55 243	-
NHN Corporation	500 000	500 000	-
United States Agency for International Development	2 948	2 948	-
Total	**6 997 382**	**6 950 147**	**29 000**

Table 2
Projected fund requirement, as at 30 June 2018
(United States dollars)

I.	**Income**	
(a)	Contributions collected as at 30 June 2018	6 950 146
(b)	Interest available	80 382
(c)	Prior-period savings	74 665
(d)	Miscellaneous income	5 122[a]
(e)	Net loss	(18 325)[a]
Amount available for expenditure (a+b+c+d+e)		**7 091 990**
II.	**Requirements**	
(f)	Total expenditure for the period 1 January 2000–31 December 2017 (including programme support costs)	6 245 644
(g)	Estimated expenditure 2018–2019 (net of programme support costs)	295 500
(h)	Estimated programme support costs 2018–2019 (13 per cent)	38 415
Total requirements (f+g+h)		**6 579 559**
Estimated additional fund requirement (I-II)		**512 431**

[a] Including prior-year adjustments.

Annex II

Expenditure and estimates for projects implemented by the United Nations Office on Drugs and Crime that contribute to countering transnational organized crime and trafficking, based on information available as at 30 June 2018 (all amounts net of programme support costs)

(Thousands of United States dollars)

Thematic area	*Project title*	*Expenditure 2016–2017*	*Estimates 2018–2019*
Countering trafficking in persons			
	Technical assistance provided to the Government of Bangladesh for strengthening responses to human trafficking (BGDX79)	24.0	-
	Enhance government and civil society responses to countering trafficking in persons in Bhutan (BHUZ13)	177.0	200.0
	Combating trafficking in persons in Colombia (COLR52)	942.3	489.5
	Global Programme against Trafficking in Persons (GLOT59)	2 864.3	1 753.1
	Global Action to Prevent and Address Trafficking in Persons and the Smuggling of Migrants (GLOZ67)	4 168.0	6 067.1
	Strengthening criminal justice responses to trafficking in persons in the Lao People's Democratic Republic (LAOX26)	133.4	-
	Technical assistance to Mexico in the Implementation of the Trafficking in Persons Protocol (MEXX48)	375.6	69.6
	Promoting better management of migration in Nigeria by combating and reducing irregular migration that occurs, inter alia, through trafficking in persons and smuggling of migrants (NGAX41)	1 029.0	38.5
	Trafficking in persons in Nigeria (new project)	-	940.0
	Support to the fight against trafficking in persons in Nigeria (NGAZ52)	261.2	-
	Support to and capacity-building for the National Agency for the Prohibition of Traffic in Persons and Other Related Matters on strengthening access to justice and victim support (NGAZ54)	221.0	-
	Establishment of a shelter for victims of trafficking in persons in Panama (PANW02)	32.3	2 930.8
	Building capacity to reduce trafficking in persons in Senegal (SENZ20)	43.5	214.2
	Arab Initiative to Build National Capacities to Combat Human Trafficking in the Arab countries (XAMX59)	1 024.8	-
	Capacity-building for Member States of the Southern African Development Community in the ratification and implementation of the United Nations Convention against Transnational Organized Crime and its two first additional Protocols (XASS69)	1 286.4	1 283.1
	Strengthening the capacities of States in West Africa to effectively detect, investigate and prosecute trafficking in persons and smuggling of migrants and to protect victims of trafficking and vulnerable migrants (XAWX22)	756.0	198.7
	Regional project against trafficking in persons and smuggling of migrants (XCAS26)	154.7	56.6
	Strengthening the framework of the Arab region to prevent and combat human trafficking and migrant smuggling (XMEX19)	1 560.5	6 136.7
	Strengthening service provision for protection and assistance to victims of cross-border trafficking between Bangladesh, India and Nepal (XSAZ12)	216.3	93.5
	Preventing trafficking in women and girls in South Asia (XSAZ19)	76.3	-
	Assessment of anti-trafficking-in-persons units in India, aimed at strengthening responses to trafficking in persons (new project)	-	300.0
	Subtotal: countering trafficking in persons	**15 346.6**	**20 771.4**

Thematic area	*Project title*	*Expenditure 2016–2017*	*Estimates 2018–2019*
Countering money-laundering			
	Global Programme against Money-Laundering, Proceeds of Crime and the Financing of Terrorism (GLOU40)	10 449.9	10 117.4
	Subtotal: countering money-laundering	**10 449.9**	**10 117.4**
Countering organized crime			
	Subprogramme 2: law enforcement (country programme for Afghanistan) (AFGK61)	336.7	-
	Strengthening public security policies in Colombia (COLU99)	1 327.8	1 068.5
	Countering organized crime and counter-narcotics enforcement in Cabo Verde (CPVS28)	878.0	485.4
	Illicit trafficking, organized crime and border management: national integrated programme for Ethiopia (ETHX88)	122.0	-
	Container Control Programme (GLOG80)	16 324.8	15 176.6
	Global Programme for Strengthening the Capacities of Member States to Prevent and Combat Organized and Serious Crimes (GLOT32)	2 512.1	2 510.0
	Support to the Conference of the Parties to the United Nations Convention against Transnational Organized Crime (GLOT60)	472.6	295.5
	UNODC Global e-learning: making the world safer from drugs, crime and terrorism (GLOU61)	144.7	190.0
	Countering transnational arms trafficking through the implementation of the Organized Crime Convention and its Firearms Protocol (GLOX34)	842.5	1 079.8
	Comprehensive study on cybercrime, pursuant to General Assembly resolution 65/230 (GLOX46)	175.8	163.3
	Global Programme on Cybercrime (GLOX76)	1 759.3	748.1
	Global Programme for Combating Wildlife and Forest Crime (GLOZ31)	7 008.3	12 043.0
	Strengthening of the justice and security sectors in Guatemala (GTMV19)	228.9	530.0
	Subprogramme 1: transnational organized crime and illicit trafficking (country programme for Indonesia 2017–2020) (INDA02)	136.1	-
	Subprogramme 1: transnational organized crime and illicit trafficking (country programme for Indonesia 2017–2020) (INDW16)	-	700.0
	Subprogramme 1: border management and illicit trafficking (country partnership programme in the Islamic Republic of Iran, 2015–2019) (IRNZ76)	1 201.2	1 375.0
	Supporting border management capacities in Lebanon (LBNZ11)	1 486.6	-
	Strengthening the control system for chemical precursors in Mexico (MEXZ73)	356.8	103.3
	Response to drugs and related organized crime (NGAV16)	8 886.8	19 393.1
	Strengthening national response to security threats through enhanced border control in Nigeria (NGAZ57)	292.6	-
	Subprogramme 1: illicit trafficking and border management (country programme for Pakistan) (PAKU83)	5 745.3	5 155.9
	Subprogramme 1: illicit trafficking and border management (country programme for Pakistan) (PAKW50)	61.7	-
	Strengthening the capacities of the security sector in Panama to fight transnational organized crime and trafficking in drugs (PANW06)	-	2 747.6
	European Union support to the security sector in Panama (PANZ24)	2 916.8	-
	Consolidation of the criminal procedure reform in Panama (PANZ41)	6 536.1	27 494.0
	Strengthening capacities to identify, seize and recover illicit assets (PERU08)	260.1	
	Establishment of a Central Asian regional information and coordination centre (RERH22)	3 491.7	585.8

Thematic area	*Project title*	*Expenditure 2016–2017*	*Estimates 2018–2019*
	Subprogramme 1: regional law enforcement cooperation (regional programme for Afghanistan and neighbouring countries) (RERV07)	2 694.4	1 575.7
	Case management with an emphasis on chain of custody for enforced disappearances, torture and organized crime cases in El Salvador (SLVW32)	54.6	451.5
	Strengthening control along the border between Afghanistan and Tajikistan (TAJE24)	1 033.4	1 385.0
	Strengthening the capacity of customs and other law enforcement agencies in implementing border and trade control, in particular, strategic export/import control regimes under international instruments related to countering terrorism (TKMX57)	119.3	-
	Supporting capacity in Tunisia to prevent and combat cybercrime (TUNZ66)	832.7	1 428.5
	Subprogramme 1: countering transnational organized crime and illicit drug trafficking and preventing terrorism (regional programme for Central Asia) (XACZ60)	1 593.5	5 943.2
	Assistance to the Economic Community of West African States Commission for development and implementation of a drug control and related organized crime strategy for West Africa: phase I (XAMU50)	843.2	575.0
	Strengthening criminal intelligence analysis capacities in the Middle East and North Africa region (XAMW15)	327.7	1 600.0
	Strengthening criminal justice systems in the Sahel in order to effectively combat trafficking, organized crime, terrorism and corruption in the region (XAMZ17)	11 719.4	12 457.9
	Strengthening regional cooperation and border control against illicit trafficking (XAMZ53)	1 802.6	6 021.3
	Subprogramme 1: transnational organized crime and illicit trafficking (South-East Asia regional programme) (XAPA07)	6 108.3	9 780.0
	Establishment of real-time operational communication between selected airports in West Africa (XAWU72)	4 185.5	3 555.8
	Support to the Economic Community of West African States regional action plan on illicit drug trafficking, organized crime related to it and drug abuse in West Africa (XAWZ28)	4 675.1	2 863.6
	Support for AB1 inter-institutional and regional coordination for border security in Central America (XCAX75)	442.9	-
	Regional programme for South-Eastern Europe (XCEU60)	614.2	3 005.5
	Organized crime and illicit trafficking: regional programme for East Africa (XEAU75)	872.7	-
	Pillar I of the regional programme for East Africa: countering transnational organized crime and illicit trafficking in East Africa (XEAW10)	745.3	7 268.5
	Support to the transnational crime units under the West Africa Coast Initiative (XWSV33)	2 844.0	1 770.0
	Strengthening of national capacities to combat the trafficking of firearms in Panama (new project)	-	2 810.8
	Subtotal: countering organized crime	**105 014.1**	**154 337.2**
Counter-narcotics enforcement			
	Subprogramme 1.3: counter-narcotics capacity-building (country programme for Afghanistan) (AFGK65)	148.9	-
	Subprogramme 1: law enforcement (country programme for Afghanistan) (AFGZ87)	1 787.8	2 958.9
	Strengthening the fight against drug trafficking and related crimes in the Plurinational State of Bolivia (BOLJ52)	- 0.7	-
	Paris Pact Initiative phase IV: a partnership to combat illicit trafficking in opiates originating in Afghanistan (GLOY09)	1 989.7	819.3
	Strengthening criminal investigation and criminal justice cooperation along the Cocaine Route in Latin America, the Caribbean and West Africa (GLOZ83)	3 518.8	3 595.5

Thematic area	*Project title*	*Expenditure 2016–2017*	*Estimates 2018–2019*
	Subprogramme 1: illicit trafficking and border management (country programme for the Islamic Republic of Iran) (IRNV03)	294.2	-
	Strengthening the State Service on Drug Control of Kyrgyzstan (KGZK50)	1 149.0	-
	Border management in Nigeria (new project)	-	1 327.4
	Strengthening the National Customs and Tax Administration Authority (SUNAT) (PERZ23)	189.0	-
	Strengthening drug law enforcement systems for the collection, analysis and exchange of criminal intelligence (RERF23)	422.7	303.0
	Tajikistan drug control agency: phase II (TAJH03)	625.3	467.0
	Countering trafficking in Afghan opiates via the northern route by enhancing the capacity of key border crossing points and through the establishment of border liaison offices (XACK22)	2 070.3	689.2
	North Atlantic Treaty Organization — UNODC partnership for counter-narcotics training (XACZ47)	752.6	375.5
	Prevention of the diversion of drug precursors in the Latin American and Caribbean region (XLAK04)	35.5	-
	Strengthening drug law enforcement capacities in South Asia (XSAJ81)	598.7	301.3
	Subtotal: counter-narcotics enforcement	**13 581.8**	**10 837.1**
Countering smuggling of migrants			
	Strengthening criminal justice responses to trafficking in persons and smuggling of migrants in Djibouti and Ethiopia (ETHX95)	98.8	-
	Global Programme against the Smuggling of Migrants (GLOT92)	1 893.3	860.6
	Promotion of cooperation between Mexico and Central America to prevent and combat trafficking in migrants (MEXX89)	510.5	-
	Combating the smuggling of migrants in Mexico (MEXZ75)	1 161.3	599.6
	Preventing and combating the smuggling of migrants and trafficking in persons in El Salvador (SLVW21)	416.3	1 247.8
	Strengthening the capacities of West African States to develop a human rights-based response to the smuggling of migrants and to effectively respond to human rights violations related to irregular migration (XAWW36)	835.3	-
	Subtotal: countering smuggling of migrants	**4 915.5**	**2 708.0**
	Total	**149 307.9**	**198 771.1**